

UNITED STATES DEPARTMENT OF COMMERCE
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/027,821	02/23/98	CHASTAIN	S 970725.ORI

0372/0504

NOT ASSIGNED

THOMAS J. NIKOLAI
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MINNEAPOLIS MN 55402-3325

3735

DATE MAILED:

05/04/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.
Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
\$ _____ for _____ independent claims over 3.
\$ _____ for _____ dependent claims over 20.
\$ _____ for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☐ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(n)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

BEST AVAILABLE COPY

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/22/1998 WILLIAMS 00000046 09080221 \$130.00 OP
01 FC:105



PATENT APPLICATION

OUR FILE NO. 970725.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Stuart R. Chastain, et al.
: May 15, 1998
S.N. : 09/027,821
Filed : February 23, 1998
For : INTRAVENOUS CARDIAC LEAD WITH
POSITIVE FIXATION SEGMENT

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

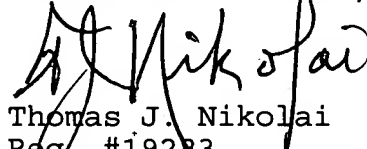
In response to the Notice to File Missing Parts of Application--Filing Date Granted bearing a date mailed of May 4, 1998 (copy attached), submitted herewith please find an executed Supplemental Declaration, Power of Attorney and Petition form.

Also enclosed is a check in the amount of \$130.00 to cover the surcharge for a large entity for the late filing of the Declaration.

The Commissioner is authorized to charge any additional fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265.

Respectfully submitted,

HAUGEN AND NIKOLAI, P.A.

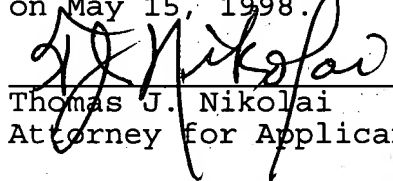


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Encs.

CERTIFICATE OF MAILING

I hereby certify that the foregoing Transmittal of Missing Parts of Application in application Serial No. 09/027,821 filed February 23, 1998 of Stuart R. Chastain, et al., and entitled INTRAVENOUS CARDIAC LEAD WITH POSITIVE FIXATION SEGMENT and attached executed Supplemental Declaration, a copy of the Notice to File Missing Parts of Application and a check in the amount of \$130.00 are being deposited with the United States Postal Service as First Class mail, postage prepaid, in an envelope addressed to: Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D. C. 20231 on May 15, 1998.



Thomas J. Nikolai
Attorney for Applicant

Date of Signature: May 15, 1998

09027821-02298